

Docket No. 265792US0PC

PCT  
JC03 Rec'd PCT 31 MAY 2005 MAIL STOP PCT #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Katsuaki MIYAJI, et al.

SERIAL NO: 10/524,666 ✓

GAU:

FILED: February 11, 2005

EXAMINER:

FOR: THROMBOPOETIN RECEPTOR ACTIVATORS AND PROCESS FOR THEIR PRODUCTION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION


- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
265792US0PCTSERIAL NO.  
10/524,666

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Katsuaki MIYAJI, et al.FILING DATE  
February 11, 2005

GROUP

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AA	10-072492	03/17/98	JP (with English abstract)		NO
	AB	96/40750	12/19/96	WO		NO
	AC	96/40189	12/19/96	WO		NO
	AD	98/25965	06/18/98	WO		NO
	AE	11-001477	01/06/99	JP (with English abstract)		NO
	AF	11-152276	06/08/99	JP (with English abstract)		NO
	AG	01/07423	02/01/01	WO (English abstract only & equivalent of EP 1207155)		NO
	AH	01/53267	07/26/01	WO (English abstract only & equivalent of US 2003/0195231)		NO
	AI	02/059099	08/01/02	WO (with English abstract & equivalent of US 2004/0082626)		NO
	AJ	02/059100	08/01/02	WO (with English abstract & equivalent of US 2004/0063764)		NO
	AK	00/35446	06/22/00	WO		NO
	AL	00/66112	11/09/00	WO		NO
	AM	01/34585	05/17/01	WO		NO
	AN	01/17349	03/15/01	WO		NO
	AO	01/39773	06/07/01	WO		NO
	AP	01/21180	03/29/01	WO		NO
	AQ	01/89457	11/29/01	WO		NO
	AR	02/49413	06/27/02	WO		NO
	AS	02/085343	10/31/02	WO		NO
	AT	2001-097948	04/10/01	JP (with English abstract)		NO
	AU	99/11262	03/11/99	WO		NO
	AV	02/062775	08/15/02	WO (with English abstract & equivalent of US 2004/0077697)		NO
	AW	07-069883	03/14/95	JP (with English abstract)		NO
	AX	02-304058	12/17/90	JP (with English abstract)		NO
	AY	04-182427	06/30/92	JP (with English abstract)		NO
	AZ	02-040324	02/09/90	JP (with English abstract)		NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AAA	CARDIER, Jose E. "Effects of Megakaryocyte Growth and Development Factor (Thrombopoietin) on Liver Endothelial Cells in Vitro", Microvascular Research, vol. 58, pages 108-113 1999	
	AAB	BRIZZI, Maria et al. "Thrombopoietin Stimulates Endothelial Cell Motility and Neoangiogenesis by a Platelet-Activating Factor-Dependent Mechanism", Circ Res., vol. 84, pages 785-796 1999	
	AAC	"Blood", Journal of the American Society of Hematology, vol. 98, no. 11, pages 71a-72a 2001	<input checked="" type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 265792US0PCT		SERIAL NO. 10/524,666	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Katsuaki MIYAJI, et al.			
				FILING DATE February 11, 2005		GROUP	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAD	MORINO, Tomio et al. "NK372135s, Novel Antifungal Agents Produced by Neosartoria fischeri", Journal of Antibiotics, vol. 47, no. 12, pages 1546-1548 1994					
	AAE	ANDREWS, S. et al. "Further Studies on the Water Relations of Xerophilic Fungi, Including Some Halophiles", Journal of General Microbiology, vol. 133, pages 233-238 1987					
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U.S. PCT Application Serial No.: 10/524,666  
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#### STATEMENT OF RELEVANCY

- 1) References \_\_\_\_\_ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References AAD, AAE have been cited in the corresponding International Preliminary Examination Report. A copy of these references is being submitted herewith.
- 3) References AA-AAC are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.